

PTOL-413A (05-03)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No.: 09/617,065 First Named Applicant: Understein
Examiner: Sally Shih Art Unit: 3624 Status of Application: _____

Tentative Participants:

(1) Alan M. Kagen (2) SPE or Primary
(3) Examiner Shih (4) _____

Proposed Date of Interview: 8/11/03 Proposed Time: 2:00 pm (AM/PM)

Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: _____

FAX RECEIVED**JUL 29 2003****GROUP 3600****Issues To Be Discussed**

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Sec. 102(e)</u>	<u>1-8</u>	<u>USP 5,872,844</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Official

☐ Continuation Sheet Attached

Brief Description of Arguments to be Presented:

Yacobi '844 patent is distinguishable from claimed invention, particularly
with respect to "reserving" funds per claim 1. Yacobi rather monitors fraudulent
use of electronic assets. Other distinguishing features of the invention
will be discussed.

An interview was conducted on the above-identified application on _____.

NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Alan M. Kagen

(Applicant/Applicant's Representative Signature)
Alan M. Kagen, Reg. No. 36,178

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/87 (08-00)

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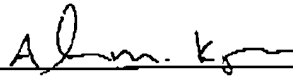
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on July 28, 2003

Date



Signature

Alan M. Kagen, Reg. No. 36,178

Typed or printed name of person signing Certificate

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